



Customer Id 客戶編號							

Relationship Form – Personal
賬戶開立申請書 – 個人適用

Date 日期

To : Axis Bank Ltd., Hong Kong (the "Bank")
致 : Axis 銀行有限公司香港分行 (「貴行」)

I / We would like to open account (s) of following nature/details with your Bank: 本人/吾等希望在貴行開立具有下述性質/詳情的賬戶：	
Name of the Primary Applicant 主要申請人姓名	
Name of the Joint Applicant (1) 聯名申請人(1)姓名	
Type of Account 賬戶類別	<input type="checkbox"/> Savings Account 儲蓄賬戶 <input type="checkbox"/> Current Account 往來賬戶 <input type="checkbox"/> Term Deposit Account 定期存款賬戶 <input type="checkbox"/> Call Deposit 通知存款 <input type="checkbox"/> Structured Deposit Account 結構性存款賬戶 <input type="checkbox"/> Others 其他
Type of Applicant 申請人類別	<input type="checkbox"/> Sole 個人 <input type="checkbox"/> Joint 聯名
Currency 貨幣	<input type="checkbox"/> HKD 港元 <input type="checkbox"/> USD 美元 <input type="checkbox"/> GBP 英鎊 <input type="checkbox"/> EUR 歐元 <input type="checkbox"/> JPY 日圓 <input type="checkbox"/> AUD 澳元 <input type="checkbox"/> CHF 瑞郎 <input type="checkbox"/> SGD 新加坡元 <input type="checkbox"/> Others (please specify) 其他 (請註明)
Mode of Operation 操作方式	
For Savings and Current Account 儲蓄及往來賬戶	<input type="checkbox"/> Self 本人 <input type="checkbox"/> Other Instructions 其他說明 _____
For Deposit Accounts 存款賬戶	<input type="checkbox"/> Self 本人 <input type="checkbox"/> Jointly or Survivor 聯名人或生存者 <input type="checkbox"/> Either or Survivor 任一者或生存者 <input type="checkbox"/> Former or Survivor 前人或生存者 <input type="checkbox"/> Later or Survivor 後人或生存者 <input type="checkbox"/> Any one or Survivor 任何一方或生存者 <input type="checkbox"/> Any two or Survivor 任何兩者或生存者 <input type="checkbox"/> Other Instructions 其他說明 _____
Purpose of Account 開戶目的	
Mailing Address of Primary Applicant 主要申請人郵寄地址	

Details 詳情	Primary Applicant 主要申請人	Joint Applicant (1) 聯名申請人(1)
Permanent Address (if different from the mailing address) 永久地址 (如與郵寄地址不同)		
Telephone & Fax Nos. 電話及傳真號碼	Country code – Phone & Fax Numbers	Country code – Phone & Fax Numbers
E-mail Address 電郵地址		
Hong Kong Id / Passport No. 香港身份證/護照號碼：		
Date of Birth 出生日期		
Country of Birth 出生國		
Country of Citizenship 國籍		
Country of Tax Residency 國家稅務居民的		
Gender 性別	<input type="checkbox"/> Male 男 <input type="checkbox"/> Female 女	<input type="checkbox"/> Male 男 <input type="checkbox"/> Female 女
Marital Status 婚姻狀況	<input type="checkbox"/> Single 未婚 <input type="checkbox"/> Married 已婚	<input type="checkbox"/> Single 未婚 <input type="checkbox"/> Married 已婚
Nationality 國籍		
Employment Status 工作狀況	<input type="checkbox"/> Self Employed 自僱 <input type="checkbox"/> Full-time Employed 全職 <input type="checkbox"/> Part-time Employed 兼職 <input type="checkbox"/> Student 學生 <input type="checkbox"/> Housewife 家庭主婦 <input type="checkbox"/> Retired 退休 <input type="checkbox"/> Not Currently Employed 非在職	<input type="checkbox"/> Self Employed 自僱 <input type="checkbox"/> Full-time Employed 全職 <input type="checkbox"/> Part-time Employed 兼職 <input type="checkbox"/> Student 學生 <input type="checkbox"/> Housewife 家庭主婦 <input type="checkbox"/> Retired 退休 <input type="checkbox"/> Not Currently Employed 非在職
Occupation 職業		
Job Title (if applicable) 職銜 (如適用)		
Employer / Business 僱主/公司 Name 名稱		
Nature of Business 業務性質		
Volume of Business expected in a year 業務量預期在一年內		
Source of Funds 資金來源		

Monthly Income (HKD) 每月收入 (港幣)	<input type="checkbox"/> Below 5,000 5,000 港元以下	<input type="checkbox"/> Below 5,000 5,000 港元以下
	<input type="checkbox"/> 5,000 – 9,999 <input type="checkbox"/> 10,000-14,999 <input type="checkbox"/> 15,000-19,999 <input type="checkbox"/> 20,000-29,999 <input type="checkbox"/> 30,000-49,999 <input type="checkbox"/> 50,000-69,999 <input type="checkbox"/> 70,000-99,999 <input type="checkbox"/> 100,000-199,999 <input type="checkbox"/> 200,000 or above 200,000 港元或以上	<input type="checkbox"/> 5,000 – 9,999 <input type="checkbox"/> 10,000-14,999 <input type="checkbox"/> 15,000-19,999 <input type="checkbox"/> 20,000-29,999 <input type="checkbox"/> 30,000-49,999 <input type="checkbox"/> 50,000-69,999 <input type="checkbox"/> 70,000-99,999 <input type="checkbox"/> 100,000-199,999 <input type="checkbox"/> 200,000 or above 200,000 港元或以上

Instructions for Savings Bank & Current Account 儲蓄及往來賬戶說明		
Cheque Book Required (at the discretion of the Bank) 需要支票簿 (貴行酌情決定)	Savings Account 儲蓄賬戶	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
	Current Account 往來賬戶	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Other Specific Instructions 其他特別說明		

Instructions for Term Deposits 定期存款說明	
A. Period 期限	_____ Years 年 _____ Months 月 _____ Days 天
B. i) Amount 金額	HKD / USD / GBP / EUR / JPY / AUD / CHF / SGD / Others _____ 港元 / 美元 / 英鎊 / 歐元 / 日圓 / 澳元 / 瑞郎 / 新加坡元 / 其他
ii) Interest Rate 利率	_____ % p.a
C. Interest Application 利息提取方式	<input type="checkbox"/> Monthly 每月 <input type="checkbox"/> Quarterly 每季 <input type="checkbox"/> Half-Yearly 每半年 <input type="checkbox"/> On Maturity 到期時 <input type="checkbox"/> Others 其他 _____
D. Interest Payment Instruction 利息付款指示	<input type="checkbox"/> Issue DD / Pay-order 發出即期匯票 / 支付指示 <input type="checkbox"/> Credit our Account No. _____ (Facilities available for accounts with Axis Bank only) 記入於貴行賬戶，賬戶號碼為 (融通僅限設於 Axis 銀行的賬戶)

E. Maturity Instructions 到期指示		
Note: Interest rate applicable on the date of renewal instruction will be applicable from instruction date if received after 14 days of maturity date. 如在屆滿日期后 14 天之后收到续期指令,指令之日适用的利息率将会适用		
i) Auto Renewal (only for Deposit Accounts) 自動續期 (僅適用於存款賬戶)	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否
ii) Auto Closure Options 自動關閉	<input type="checkbox"/> Issue DD / Pay-order 發出即期匯票 / 支付指示 <input type="checkbox"/> Credit our Account No. _____ (Facilities available for accounts with Axis Bank only) 記入於貴行賬戶，賬戶號碼為 (融通僅限於給予設於 Axis 銀行的賬戶)	
iii) Instruction on Maturity 指令於到期	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否

I/We hereby confirm that the information provided in this form is correct and complete and authorize the Bank to verify the same from any source it may deem fit. I/ We also undertake to notify the Bank immediately of any changes to the aforesaid information. I/We also state that I am/ we are the true beneficiary of all the deposits and incomes to which this form refers.

Note:

1. The personal information that the Bank has sought is relevant and required to understand your financial needs and to conduct its business.
2. You have the right to opt-out of any e-mail promotions or promotional direct mailing services. If you choose not to receive such correspondence from the Bank, please contact the Head – Operations of Axis Bank Limited, Hong Kong Branch or send an e-mail request to 'customercarehk@axisbank.com'. The Bank will act on your request within 30 days.
3. The Bank is a member of the Deposit Protection Scheme of Hong Kong (the "**Scheme**"). Eligible deposits taken by the Bank are protected under the Scheme. Structured investment products/deposits, currency-linked products, equity-linked products, range accruals and inverse floaters, offshore deposits and other similar products, amongst others, are not protected under the Scheme.

Comprehensive Declaration (Must be signed by all applicants in full):

1. I/We request the Bank to open the account as mentioned above.
2. I/We agree that the opening and operation of my/our account(s) with the Bank shall be governed by the terms of the mandate from time to time given by me/us to the Bank.
3. I/we have read, understood and agree to be bound by all the relevant terms and conditions issued by the Bank, governing the above account(s) and acknowledge receipt of a copy. I/we also agree to abide and be bound by any amendments/alterations and additions thereto that may be made from time to time.
4. I/We also consent to the Bank advising me/us about all products or services periodically offered by the Bank by e-mail/ letters/ pamphlets.
5. I/We agree to the Bank's disclosure of any information and documents, which the Bank considers reasonably necessary for the purpose of compliance with Anti-Money Laundering ("**AML**") legislation or regulatory guidelines applicable to the Bank and we further undertake to make disclosure of any information and/or documents and grant access to the Bank to any other documents for such purpose.
6. I/We acknowledge that the Bank is a member of the Deposit Protection Scheme of Hong Kong (the "**Scheme**"). Eligible deposits taken by the Bank are protected under the Scheme. I/We understand that there are certain ineligible deposits such as structured investment products/deposits, currency linked products, equity-linked products, range accruals and inverse floaters, offshore deposits and other similar products, amongst others, which are not protected under the Scheme.
7. I/we have read, understood and agree to be bound by the terms and conditions of the Notice to Customers made by the Bank with regard to the policy adopted by the Bank in relation to the Personal Data (Privacy) Ordinance (Cap.486) and consent to such provisions.
8. We acknowledge and accept the outsourcing system of the Bank with the following features:
 - a. The Head Office of the Bank ("**Axis Bank**"), having its place of business in India and carrying on the business of banking in India as licensed under the applicable laws and regulations of India, has decided to implement a system to integrate and centrally manage and process the back office operations of its overseas branches, including the Bank, at the head office level in India.
 - b. In implementing the outsourcing system, the Bank and Axis Bank have entered into a Master Service Level Agreement (the "**Agreement**") which includes such agreement (as from time to time amended and varied) which, provides that customers' data confidentiality under the Personal Data (Privacy) Ordinance (Cap.486) and Hong Kong's common law on customer secrecy shall be recognized and protected.
 - c. It is understood that Axis Bank may have to provide the customer information to related authorities pursuant to the relevant laws in India and that such information would be provided only to those who have taken proper steps to obtain authorization and hold them for legitimate purposes.
 - d. I / We have to the best of our knowledge been assessed to tax in our jurisdiction/s of residency / business and have not been indicted by respective tax authorities for any shortcoming in our tax submissions. Further, there are no litigations pending against my / our name with regard to taxation matters with Counterparties /Govt. bodies / law agencies.

本人／吾等特此確認，本表格中所提供之有關資料乃屬正確及完整；並授權貴行依靠認為合適的任何來源對其進行核實。本人／吾等承諾上述有關資料如有更改將立即通知貴行。本人／吾等聲明，本人／吾等是本表格所提及之所有存款和收入的真正受益人。

注：

1. 本行索取的個人資料與了解閣下的財務需求以及執行其業務相關，且為必需。
2. 閣下有權取消任何電郵促銷或促銷性直接郵遞服務。如閣下選擇不接收本行的該等通訊，請聯絡 Axis 銀行有限公司總行香港分行或發送電郵請求至「customercarehk@axisbank.com」。本行將在 30 天內按照您的要求行事。
3. 本行是香港「存款保障計劃」（「**計劃**」）的成員。本行持有的合資格存款均受該計劃的保障。結構性投資產品／存款、貨幣掛鉤產品、股票掛鉤產品、區間累計及反向浮息票據、海外存款及其他類似產品（其中包括）不受計劃的保障。

綜合聲明（由所有申請人以全名簽署）：

1. 本人／吾等要求貴行開立上述賬戶。
2. 本人／吾等同意，在貴行開立及操作本人／吾等的賬戶應受本人／吾等不時向貴行發出之授權書的條款的規限。
3. 本人／吾等已閱讀、理解並同意受貴行發出的相關條款及條件的約束，該等條款及條件管限上述賬戶。本人／吾等確認收到副本。本人／吾等同意遵守並受不時對該等條款及條件作出的任何修訂／變更及增補的約束。
4. 本人／吾等同意，貴行可藉電郵／信件／宣傳冊，就定期提供的所有產品或服務向本人／吾等提供建議。
5. 本人／吾等同意貴行對任何有關資料和文件的披露，而貴行認為該等資料和文件乃為遵守適用於貴行之反洗黑錢（「**AML**」）法規或監管指引而合理所需；吾等進一步承諾披露任何資料及／或文件並授權貴行就此取用任何其他文件。

6. 本人／吾等確認貴行是香港存款保障計劃（「計劃」）的成員。存放於貴行的合資格存款受有關計劃的保障。本人／吾等明白某些不符資格存款（如結構性投資產品／存款、貨幣掛鈎產品、股票掛鈎產品、區間累計及反向浮息票據、海外存款及其他類似產品（其中包括））均不受計劃的保障。
7. 本人／吾等已閱讀、理解並同意受貴行致客戶的通知（涉及貴行採取的與《個人資料（私隱）條例》（第 486 章）有關的政策）的條款及條件的約束，並同意該等條文。
8. 吾等確認及接受貴行具有下述特徵的外包系統：
 - a. 貴行（「Axis 銀行」）總行，營業地點位於印度且根據印度適用法律和法規獲許可經營銀行業務，已決定在印度按照總行的標準實施一個系統，以整合、集中管理和處理其海外分行（包括貴行）後台運作。
 - b. 在實施外包系統時，貴行及 Axis 銀行已訂立一份服務品質總協議（「有關協議」）。包括該協議（經不時修訂及更改之）規定了在《個人資料（私隱）條例》（第 486 章）及有關客戶保密資料的香港普通法下之客戶資料的保密性應獲得認可和保障。
 - c. 吾等明白，根據印度相關法律，Axis 銀行可能不得不向相關部門提供客戶資料，但該等資料只能提供予採取適當措施獲得授權且為合法目的而持有的人士。
 - d. 盡本人／吾等所知，本人／吾等已在居住地／業務所屬之司法管轄區被評定稅項，且各地稅務機關，未因本人／吾等所提交稅項有任何不足提出起訴。此外，目前，無由任何交易對手方／政府機構／執法機構就稅務事宜而針對本人／吾等提出的待決訴訟。

Signed and agreed by:- 同意并簽署:-

Primary Applicant 主要申請人	Joint Applicant (1) 聯名申請人(1)

For Bank's Use only 銀行專用

Client Interviewed By 客戶接待人	
Signature Verified By	

	Name 姓名	Signature 簽署
Opened By 開立人		
Verified By 核實人		