

To

Axis Bank Limited
Hong Kong branch
1707-08 Central Plaza
18 Harbour Road Wanchai
Hong Kong

REF NO: Customer ID _____

TAX SELF-CERTIFICATION—UNDER FATCA AND AEOI ORDINANCE -INDIVIDUAL

Important Note:

This is a Self Certification form provided by an account holder to a reporting financial institution for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the reporting financial institution to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.

An account holder should report all changes in his / her tax residency status to the reporting financial institution.

All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient , please continue on additional sheet(s). Information in fields /parts marked with an asterisk(*) are mandatory and are required to be reported by the reporting financial institution to the Inland Revenue Department .

The financial institution needs to understand your Tax residency / Tax status in order to meet its obligations under law , including FATCA / Inland Revenue Ordinance for AEOI.

Part 1: Identification of Individual Account holder

| | | | | |
|---|---|------------------|----------------|--|
| 1 | Name of the Account Holder | | | |
| 2 | Hong Kong Identity card / Passport Number | | | |
| 3 | Current Residence Address* | Floor/ Building | | |
| | | Street | | |
| | | District | | |
| | | State | | |
| | | Country | | |
| 4 | Mailing Address* | Floor / Building | | |
| | | Street | | |
| | | District | | |
| | | State | | |
| | | Country | | |
| 5 | Date of birth | | Place of birth | |

Part 2 : Jurisdiction of Residence and Tax Payer Identification or its Functional Equivalent("TIN")

Complete the following table indicating

- (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and
- (b) the account holder's Tax Identification Number [TIN]for each jurisdiction indicated.

If the account holder is a tax resident of Hong Kong , the TIN is the Hong Kong Identity Card number.



For other Jurisdictions ,if TIN is not available, provide the appropriate reason A, B or C

- Reason A – The jurisdiction where the account holder is a resident for tax purpose does not issue TINs to its residents.
Reason B----- The account holder is not able to obtain TIN Number . Please explain the reasons for the same.
Reason C----- TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

| SI No. | Jurisdiction of Residence/ Place of Effective Management (No abbreviations) | TIN (If available) | Address in the respective Jurisdiction | Aor B or C (if no TIN) | Explain if the Reasons is B. |
|--------|---|--------------------|--|------------------------|------------------------------|
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |

Part 3 : Declarations and Signature

I acknowledge and agree that

- (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of
 - i) automatic exchange of financial account information ,
 - ii) FATCA –Foreign Account Tax Compliance Act
- (b) Such information and information regarding the account holder and any reportable accounts may be reported by the financial institution to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region or any other statutory/governmental authority in Hong Kong and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes , pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112) or under any other law /ordinance.

I certify that I am the account holder / I am authorized to sign for the account holder of all the account(s) to which this form relates.

I undertake to advise Axis Bank Hong Kong of any change in the circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide Axis bank Hong Kong with a suitably updated self-certification form within 30 days of such change in circumstances.

| | |
|-----------------------|---|
| Signature | |
| Name of the Signatory | |
| Capacity | Indicate the capacity if you are not the individual identified in Part 1. [If signing under power of attorney , attach a certified copy of the power of attorney] |
| Date : dd/mm/yyyy | |

WARNING : It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self certification, makes a statement that is misleading , false , or incorrect particular AND Knows , or is reckless as to whether , the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable for conviction to a fine at a level 3 . (HKD 10000)